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THE UNITED STATES PATENT AND TRADEMARK OFFICE

ppurant(s): Bardy

Serial No.: 10/042,402

Filed: 1/7/2002

Title: System And Method For Providing

Diagnosis And Monitoring Of

Congestive Heart Failure For Use In

Automated Patient Care

Attorney Docket No.: 003.0254.01

Commissioner for Patents Washington, D.C. 20231

Group Art Unit:

3762

Examiner:

Unassigned

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INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

X under 37 CFR 1.97(b), or (Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)

under 37 CFR 1.97(c) together with either a:

- __ Statement under 37 CFR 1.97(e), or
- __ a \$180.00 fee under 37 CFR 1.17(p), or
 - (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

___ under 37 CFR 1.97(d) together with a:

- __ Statement under 37 CFR 1.97(e), and
- __ a \$180.00 fee set forth in 37 CFR 1.17(p).
 - (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

X Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware. Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

The Law Offices of Patrick J.S. Inouye 810 3rd Avenue, Suite 258 Seattle, WA 98104 (206) 381-3900 (206) 381-3999

PATENT TRADEMARK OFFICE

Patrick J.S. Inotye, Esq.

Attorney/Agent for Applicant(s)

Reg. No. 40297

Date:

AUG 1 2 2002

Telephone No.: (206) 381-3900

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Substitute for form 1449A/PTO				Complete if Known		
				Application Number	10/042,402	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Filing Date First Named Inventor	1/7/2002 Bardy	
						Art Unit
Sheet	1	of	1	Attorney Docket Number	003.0254.01	

U.S. PATENT DOCUMENTS							
5		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where		
Examiner Cite Initials* No.1	Number - Kind Code ² (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear			
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		FOREIG	N PATENT DOCU	IMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T [®]
		EP 0 531 889 A2	3/17/1993	Hewlett-Packard		Ī
		WO 99/46718	9/16/1999	Healthware Corporation		
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U.S. Patent Application entitled: "System And Method For Providing Diagnosis And Monitoring Of Congestive Heart Failure For Use In Automated Patient Care"
Applicant: G.H. Bardy
Serial No.: 10/042,402
Filed: January 7, 2002

Please stamp and confirm receipt in the U.S. Patent & Trademark Office of the following:

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Attorney Docket No.: 003.0254.01 Date Mailed:

Attorney: Patrick J.S. Inouye Reg. No. 40,297

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. TRANSMITTAL Application Number 10/042,402 **FORM** Filing Date 1/7/2002 (to be used for all correspondence after initial filing) First Named Inventor Bardy, Gust H. Group Art Unit 3736 **Examiner Name** Unassigned Total Number of Pages in This Submission **Attorney Docket Number** 003.0254.01 ENCLOSURES (check all that apply) Assignment Papers After Allowance Communication Fee Transmittal Form (for an Application) to Group Fee Attached Appeal Communication to Board of Drawing(s) Appeals and Interferences Appeal Communication to Group **Preliminary Amendment** Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition After Final **Proprietary Information** Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Power of Attorney, Revocation Other Enclosure(s) (please Extension of Time Request Change of Correspondence identify below): Address Express Abandonment Request 1 Published Reference Terminal Disclaimer Postcard Information Disclosure Statement Request for Refund and Transmittal Letter Certified Copy of Priority CD, Number of CD(s) RECEIVED Document(s) Response to Missing Parts/ Remarks Incomplete Application AUG 2 0 2002 Response to Missing Parts under 37 CFR 1.52 or 1.53 TECHNOLOGY CENTER R3700 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Law Offices of Patrick J.S. Inouye Individual name Signature Date **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for partials Washington, D.C. 20231 on this date: Type or printed name Leichter Signature Date

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